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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/017,997

Filing Date October 30, 2001

First Named Inventor Joseph J. Bai

Group Art Unit 2152

Examiner Name Not Yet Assigned

Total Number of Pages in This Submission 4

Attorney Docket Number 50269-0520

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## ENCLOSURES (check all that apply)

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<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) - Drawing Transmittal letter and 8 figures	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition To Convert To a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request (1 month extension)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Substitute Declaration and Power of Attorney (combined) by inventor PAUL CHENG
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	Commissioner is hereby authorized to charge any fees that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1302.
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John D. Henkhaus, Reg. No. 42,656 Hickman Palermo Truong & Becker LLP
Signature	<i>John Henkhaus</i>
Date	4/9/02

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class: mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: April 10, 2002			
Type or printed name	Clare C. Finney		
Signature	<i>Clare C. Finney</i>	Date	April 10, 2002

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(P-00060-PAT-N)

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**DECLARATION AND POWER OF ATTORNEY**

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As a below named inventors, we hereby declare that:

Our residence, mailing address and citizenship are as stated below next to our names,

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We believe we are the original, first and joint inventors of the subject matter claimed and for which a patent is sought on the invention entitled **COOPERATIVE MANAGEMENT OF DISTRIBUTED NETWORK CACHES**, the specification of which

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☐ is attached hereto  
☒ was filed on 10/30/01 as Application Serial No. 10/017,997 and was amended on \_\_\_\_\_ (if applicable)

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is known to us to be material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s):**

**Priority Claimed**

<u>Number</u>	<u>Country</u>	<u>Day/Month/Year filed</u>	<u>Yes</u>	<u>No</u>
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We hereby claim the benefit under 35 USC §119(e) of any United States provisional application(s) listed below.

**Prior Provisional Application(s):**

Application Number  
60/245,007

Filing Date  
November 1, 2000

We hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, We acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

**Prior U. S. Application(s):**

<u>Serial No.</u>	<u>Filing Date</u>	<u>Status: Patented, Pending, Abandoned</u>
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We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint the following attorney(s) and/or agent(s): Brian D. Hickman, Reg. No. 35,894, Christopher J. Palermo, Reg. No. 42,056, Bobby K. Truong, Reg. No. 37,499, Edward A. Becker, Reg. No. 37,777, Marcell K. Bingham, Reg. No. 42,327, Craig G. Holmes, Reg. No. 44,770; Van Mahamedi, Reg. No. 42,828, Eric A. Dipple, Reg. No. 48,890, Meera Parikh, Reg. No. P-48,296, Cheryl Eichstaedt, Reg. No. P-50,761 and John D. Henkhaus, Reg. No. 42,656, all of

HICKMAN PALERMO TRUONG & BECKER, LLP  
1600 Willow Street  
San Jose, California 95125-5106

with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and all future correspondence should be addressed to them.

Full name of sole or first inventor: JOSEPH J. BAI

Inventor's signature:

Date:

Residence: Tewksbury, MA

Citizenship: U.S.

Mailing Address: 81 Meredith Road, Tewksbury, MA 01876

Full name of second or joint inventor: ROBERT CARNEY

Inventor's signature:

Date:

Residence: Cambridge, MA

Citizenship: U.S.

Mailing Address: 15 Newman Street, Cambridge, MA 02140

Full name of third or joint inventor: PAUL CHENG

Inventor's signature:

Date: 3/19/02

Residence: Budapest, Hungary

Citizenship: U.S.

Mailing Address: Mátyás király ut. 10, H-1121 Budapest Hungary

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Full name of fourth or joint inventor: JONATHAN C. CRANE

Inventor's signature:

Date:

Residence: Hanover, NH

Citizenship: U.S.

Mailing Address: 80 Stevens Road, Hanover, NH 03755

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